

(37 CFR §1.98(b))

(Modified)  Patent and Trademark Office  Information Disclosure Statement by Applicant		Attorney's Docket No. Application No. 11696-067001 10/058,825		
		Applicant Roderick J. Scott		
by Applicant (Use several sheets if necessary)		Filing Date January 30, 2002	Group Art Unit 1638	

			U.S. Pate	nt Documents			
Examiner Inital	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,004,864	04/02/91	Robertson et al.			
101	AB	5,204,253	04/20/93	Sanford et al.			
	AC	5,706,603	01/13/98	Bergquiest et al.			
	AD	6,011,200	01/04/00	Dellaporta et al.			
	AE	6,013,863	01/11/00	Lundquist et al.			
	AF	6,255,561	07/03/01	Kossman et al.			
	AG	6,320,106	11/20/01	Ertl et al.			
	AH	6,329,567	12/11/01	Jofuku et al.			
	AI	6,355,862	03/12/02	Handa et al.			
	AJ	6,429,356	08/06/02	Shewmaker			
	AK	6,455,688	09/24/02	Slabas et al.			
	AL	6,459,019	10/01/02	Falco et al.			
	AM	6,573,099	06/03/03	Graham			
	AN	6,753,139	06/22/04	Baulcombe et al.			
	AO	6,897,359	05/24/05	Thompson et al.			
	AP	6,900,368	05/31/05	Thompson et al.			
	AQ	6,906,244	06/14/05	Fischer et al.			
	AR	6,940,001	09/06/05	Landschuetze			
	AS	2003/0126642	07/03/03	Fischer et al.			
	AT	2003/0135890	07/14/03	Fischer et al.			
1	AU	2003/0175783	09/18/03	Waterhouse et al.			
15	AV	2004/0053876	03/18/04	Turner et al.			
	AW						

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
$\Lambda$	AX	99/53050	10/21/99	WIPO				

$\triangle \Lambda$	<u> </u>	
Examiner Signature	Date Considered	
Xthe Bollin	(17106)	
EXAMINER: Initials citation considered. Draw line through citation if no	ot in conformance and not considered. Include copy of this form w	ith
next communication to applicant.	•	
	Substitute Disclosure Form (PTO	-1449)

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office		Application No. 10/058,825	
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Roderick J. Scott		
		Filing Date January 30, 2002	Group Art Unit 1638	

ſ	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Trans	slation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AY							

	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner Initial	Desig. ID	Document				
<b>K</b> .	AZ	Genbank Accession No. AC093713				
1/1	AAA	Genbank Accession No. AF063403				
	ABB	Genbank Accession No. AF096096				
	ACC	Genbank Accession No. AF129516				
	ADD	Genbank Accession No. AT5G49160				
	AEE	Genbank Accession No. L05934				
	AFF	Genbank Accession No. U39944				
	AGG	Genbank Accession No. U76670				
	АНН	Genbank Accession No. U93215				
	AII	Abler and Scandalios, "Isolation and characterization of a genomic sequence encoding the maize Cat3 catalase gene," Plant Mol Biol., 1993, 22(6):1031-1038				
	AJJ	Altschul et al, "Gapped BLAST and PSI-BLAST: a new generation of protein database search programs" Nucl. Acids Res., 1997, 25:3389				
	AKK	Bateman et al, "Pfam 3.1: 1313 multiple alignments and profile HMMs match the majority of proteins," Nucl. Acids Res., 1999, 27:260-262				
	ALL	Bechtold et al., "In planta Agrobacterium mediated gene transfer by infiltration of adult Arabidopsis thaliana plants" C.R. Acad. Sci. Paris, 1993, 316:1194-1199				
	AMM	Brummell et al., "Inverted repeat of a heterologous 3'-untranslated region for high-efficiency, high-throughput gene silencing," Plant J., 2003, 33:793-800				
	ANN	Bustos, et al., "Regulation of B-Glucuronidase Expression in Transgenic Tabacoo Plants by an A/T-Rich, cis, Acting Sequence Found Upstream of a French Bean B-Phaseolin Gene," Plant Cell, 1989, 1:839-854				
	A00	Cannon et al., "Organ-specific modulation of gene expression in transgenic plants using antisene RNA," Plant Molecular Biology, 1990, 15:39-47				
	APP	Ch'ng et al., "Antisense RNA complementary to 3' coding and noncoding sequences of creatine kinase is a potent inhibitor of translation in vivo," Proc. Natl. Acad. Sci. USA, December 1989, 86:10006-10010				
h	AQQ	Choi et al., "Control of Gene Imprinting in Arabidopsis," XVIII International Congress on Sexual Plant Reproduction, Beijing, China, August 20 - 24, 2004				
6	ARR	Conceicao, "A cotyledon regulatory region is responsible for the different spatial expression patterns of <i>Arabidopsis</i> 2S albumin genes," <u>Plant</u> , 1994, 5:493-505				

Examiner Signature	Date Considered /
	1111/1
Atta Bour	1 (1,1,410.6)
EXAMINER: Antials citation considered. Draw line through citation if no	ot in conformance and not considered. Include copy of this form with
next communication to applicant.	
	Substitute Disdosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11696-067001	Application No. 10/058,825	
	closure Statement	Applicant Roderick J. Scott		
(Use several sheets if necessary)		Filing Date January 30, 2002	Group Art Unit 1638	

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	
Initial	ID	Document Document
<b>b</b>	ASS	Cao et al, "Locus-specific control of asymmetric and CpNpG methylatation by the DRM and CMT3 methyltransferase genes," PNAS, December 10, 20002, 99(4):16491-16498.
	ATT	Chuang et al., "Specific and heritable genetic interference by double-stranded RNA in Arabidopsis thaliana," PNAS, April 25, 2000, 97(9):4985-4990
	AUU	Dorlhac de Borne et al., "Co-suppression of nitrate reductase host genes and transgenes in transgenic tobacco plants," Mol. Gen. Genet., 1994, 243:613-621
	AVV	Flavell et al., "Developmental Regulation of Co-suppression in Petunia hybrida," <u>Current Topics in</u> Microbiology and Immunology, 1995, 197:43-56
	AWW	Gehring et al., "Imprinting and Seed Development," The Plant Cell, 2004, 16:S203-S213
	AXX	Green, et al., "Binding site requirements for pea nuclear protein factor GT-1 correlate with sequences required for light-dependent transcriptional activation of the <i>rbc</i> S-3A gene," <u>EMBO J.</u> , 1988, 7:4035-4044
	AYY	Hamilton et al., "A transgene with repeated DNA causes high frequency, post-transcriptional suppression of ACC-oxidase gene expression in tomato," The Plant Journal, 1998, 15(6):737-746
	AZZ	Hamilton et al., "A Species of Small Antisense RNA in Posttranscriptional Gene Silencing in Plants," Science, October 29, 1999, 286(5441):950-952
	AAAA	Hoe-Huh, et al, "Regulation of Gene Imprinting in Arabidopsis," Seed Development Symposium Sponsored by the Biology Department, University of Saskatchewan, Canada, May 12 - 13, 2005
	ABBB	Jeddeloh et al., "CCG methylation in angiosperms," Plant J., 1996, 9:579-586
	ACCC	Jordano, et al., "A Sunflower Helianthinin Gene Upstream Sequence Ensemble Contains an Enhancer and Sites of Nuclear Protein Interaction," Plant Cell, 1989, 1:855-866
	ADDD	Jorgensen et al., "Altered gene expression in plants due to <i>trans</i> interactions between homologous genes," TIB, 8:340-344
	AEEE	Jorgensen et al., "Do unintended antisense transcripts contribute to sense cosuppression in plants?," TIG, January 1999, 15(1):11-12
	AFFF	Kankel et al., "Arabidopsis MET1 Cytosine Methyltransferase Mutants," Genetics, March 2003, 163:1109-1122
	AGGG	Karlin et al, "Methods for assessing the statistical significance of molecular sequence features by using general scoring schemes," Proc. Natl. Acad. Sci., 1990, 87:2264-2268
	АННН	Karlin et al, "Applications and statistics for multiple high-scoring segments in molecular sequences," Proc. Natl. Acad. Sci., 1990, 90:5873
	AIII	Kishimoto et al., "Site specificity of the Arabidopsis MET1 DNA methyltransferase demonstrated through hypermethylation of the superman locus," Plant Molecular Biology, 2001, 46:171-183
	AJJJ	Lindroth et al., "Requirement of CHROMOMETHYLASE3 for Maintenance of CpXpG Methylation," Science, June 15, 2001, 292:2077-2080
	AKKK	Mascia et al., "Safe and acceptable strategies for producing foreign molecules in plants," <u>Current</u> Opinion in Plant Biology, 2004, 7:189-195
<b>b</b>	ALLL	Meier, et al., "Elicitor-Inducible and Constitutive in Vivo DNA Footprints Indicate Novel cis-Acting Elements in the Promoter of a Parsley Gene Encoding Pathogenesis-Related Protein 1," Plant Cell, 1991, 3:309-316

An	
Examiner Signature //	Date Considered /
The state of the s	1117/06
	1117100
EXAMINER: Initials citation considered. Draw line through citation if no	ot in conformatice and not considered. Include copy of this form with
and communication to applicant	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11696-067001	Application No. 10/058,825	
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Roderick J. Scott		
		Filing Date January 30, 2002	Group Art Unit 1638	

Other Documents (include Author, Title, Date, and Place of Publication)  Examiner Initial ID Document  Nakano et al., "A Tobacco NtMET1 cDNA Encoding a DNA Methyltransferase: Molecular Characterization and Abnormal Phenotypes of Transgenic Tobacco Plants," Plant Cell Physiol., 2000, 41(4):448-457  ANNN Niebel et al., "Co-suppression of B-1, 3-Glucanase Genes in Nicotiana tabacum," Current Topics is Microbiology and Immunology, 1995, 197:91-103  Palauqui et al., "Field trial analysis of nitrate reductase co-suppression: a comparative study of 38
Nakano et al., "A Tobacco NtMET1 cDNA Encoding a DNA Methyltransferase: Molecular Characterization and Abnormal Phenotypes of Transgenic Tobacco Plants," Plant Cell Physiol., 2000, 41(4):448-457  Niebel et al., "Co-suppression of B-1, 3-Glucanase Genes in Nicotiana tabacum," Current Topics is Microbiology and Immunology, 1995, 197:91-103  Palauqui et al., "Field trial analysis of nitrate reductase co-suppression: a comparative study of 38
AMMM Characterization and Abnormal Phenotypes of Transgenic Tobacco Plants," Plant Cell Physiol., 2000, 41(4):448-457  ANNN Niebel et al., "Co-suppression of B-1, 3-Glucanase Genes in Nicotiana tabacum," Current Topics is Microbiology and Immunology, 1995, 197:91-103  Palauqui et al., "Field trial analysis of nitrate reductase co-suppression: a comparative study of 38
ANNN Microbiology and Immunology, 1995, 197:91-103  Palauqui et al., "Field trial analysis of nitrate reductase co-suppression: a comparative study of 38
combinations of transgene loci, <u>Plant Molecular Biology</u> , 1993, 29:149-139
APPP PCR Primer: A Laboratory Manual, Dieffenbach, C. & Dveksler, G., Eds., Cold Spring Harbor Laboratory Press, 1995
AQQQ Ray, "Arabidopsis floral homeotic gene BELL (BEL1) controls ovule development through negative regulation of AGAMOUS gene (AG)," Proc. Natl. Acad. Sci. USA, 1994, 91:5761
ARRR Robinson, "Altered gene expression in plants due to trans interactions between homologous genes, TIBTECH, December 1990, 8:340-344
ASSS Savidge et al., "Temporal Relationship between the Transcription of Two Arabidopsis MADS Box Genes and the Floral Organ Identity Genes," The Plant Cell, June 1995, 7:721-733
ATTT Sambrook et al., Molecular Cloning, A Laboratory Manual, 1989, Sections 9.37-9.52, 2 <sup>nd</sup> Edition, Cold Spring Harbor Press, Plainview; NY
AUUU Saze et al, "Maintenance of CpG Methylation is essential for epigenetic inheritance during plant gametogenesis," Nature Genetics, May 2003, 34:65-69
AVVV Sharp, "RNAi and double-strand DNA," Genes & Development, 1999, 13:139-141
AWWW Sheehy et al., "Reduction of polygalacturonase activity in tomato fruit by antisense RNA," Proc. Natl. Acad. Sci. USA, December 1988, 85:8805-8809
AXXX Sheridan, "The mac1 Gene: Controlling the Commitment to the Meiotic Pathway in Maize,"  Genetics, 1996, 142:1009-1020
AYYY Smyth, "Gene silencing: Cosuppression at a distance," Current Biology, 1997, 7:R793-R795
AZZZ Sonnhammer et al, "Pfam: multiple sequence alignments and HMM-profiles of protein domains," Nucl. Acids Res., 1998, 26: 320-322
AAAAA Sonnhammer et al, "Pfam: A comprehensive Database of Protein Domain Families Based on Seed Alignments," Proteins, 1997, 28:405-420
ABBBB Taylor, "Comprehending Cosuppression," The Plant Cell, August 1997, 9:1245-1249
ACCCC Tuschl et al., "Targeted mRNA degradation by double-stranded RNA in vitro," Genes & Development, 1999, 13:3191-3197
ADDDD Urao, "Molecular cloning and characterization of a gene that encodes a MYC-related protein in Arabidopsis," Plant Mol. Biol., 1996, 32:571-556
AEEEE Van der Krol et al, "Modulation of Eukaryotic Gene Expression by Complementary RNA or DNA Sequences," BioTechniques, 1988, 6(10):958-976
AFFFF Vaucheret et al., "Molecular and genetic analysis of nitrite reductase co-suppression in transgenic tobacco plants," Mol. Gen. Genet., 1995, 248:311-317
AGGGG Voinnet et al., "Suppression of gene silencing: A general strategy used by diverse DNA and RNA viruses of plants," PNAS, November 23, 1999, 96(24):14147-14152
AHHHH AHHHH September 1   AHHHHH AHHHHH AHHHHH September 2   AHHHHHHHHHHHHHHHHHHHHHHHHHHHHHHHHHH
Examiner Signature Date Considered
EXAMINER: fhitials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  Substitute Disclosure Form (PTO-144)

Substitute Form PTO-1449  (Modified)  U.S. Department of Commerce Patent and Trademark Office  Information Disclosure Statement by Applicant  (Use several sheets if necessary)  (37 CFR §1.98(b))				

	Other Documents (include Author, Title, Date, and Place of Publication)							
Examiner In/Val	Desig. ID	Document						
<i>b</i>	AIIII	Waterhouse et al., "Virus resistance and gene silencing in plants can be induced by simultaneous expression of sense and antisense RNA," Proc. Natl. Acad. Sci. USA, 1998, 95:13959-13964						
+	AJJJJ	Xiao et al, "Imprinting of the MEA Polycomb Gene Is Controlled by Antagonism between MET1 Methyltransferase and DME Glycosylase," <u>Developmental Cell</u> , December 2003, 5:891-901						
lak	AKKKK	2000, 12:2367-2381						
15	ALLLL	Zhang, et al., "DNA Sequences That Activate Isocitrate Lyase Gene Expression during Late Embryogenesis and during Postgerminative Growth," Plant Physio., 1996, 110:1069-1079						
	AMMMM							

EXAMINER: Initials triation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Examiner Signature Date Considered

Sheet 1 of	f	1
------------	---	---

FEB 2 5 2005

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. Application No. 11696-067001 Applicant

10/058,82/

Information Disclosure Statement by Applicant

(Use several sheets if necessary)

(37 CFR §1.98(b))

Roderick J. Scott Filing Date Group Art Une January 30, 2002 1638

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6,011,200	01/04/00	Dellaporta et al.	800	285	07/30/97
	AB	6,444,469	09/03/02	Dellaporta et al.	435	468	09/22/99

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or				slation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	≪ No.
		<del></del>						
							<u> </u>	
-		•	Ì		†			

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner Desig. Initial ID		Document
AC		Bushell et al., "The Basis of Natural and Artificial Postzygotic Hybridization Barriers in Arabidopsis Species," The Plant Cell, 15:1430-1442 (2003)
	AD	Finnegan, E.J. and E.S. Dennis, "Isolation and identification by sequence homology of a putative cytosine methyltransferase from Arabidopsis thaliana," <u>Nucleic Acids Research</u> 21(10): 2383-2388 (1993)
AE		Kinoshita et al., "Polycomb Repression of Flowering During Early Plant Development," Proc. Natl. Acad. Sci. USA, 98(24):14156-14161 (2001)
AF		Liu et al., "Multiple Domains are Involved in the Targeting of the Mouse DNA Methyltransferase to the DNA Replication Foci," Nucleic Acids Research, 26(4):1038-1045 (1998)
	· AG	Luo et al., "Expression and Parent-of-Origin Effects for FIS2, MEA, and FIE in the Endosperm and Embryo of Developing Arabidopsis Seeds," Proc. Natl. Acad. Sci. USA 97(19):10637-10642 (2000)
	AH	Merlo et al., "Ribozymes Targeted to Stearoyl-ACP Δ9 Desaturase mRNA Produce Heritable Increases of Stearic Acid in Transgenic Maize Leaves," The Plant Cell 10: 1603-1621 (1998)
	AI	Vikenoog et al., "Hypomethylation Promotes Autonomous Endosperm Development and Rescues Postfertilization Lethality in Fie Mutants," The Plant Cell, 12:2271-2282 (2000)
$\sqrt{b}$	AJ	Yang et al., "Ribozyme-mediated high resistance against potato spindle tuber viroid in transgenic potatoes," Proc. Natl. Acad. Sci. USA 94: 4861-4865 (1997)

	·		
Examiner Signature	A Dun	Date Considered	11200

EXAMINER: Initials charton considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.